

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date  
8 April 2004 (08.04.2004)

PCT

(10) International Publication Number  
WO 2004/030022 A3

(51) International Patent Classification<sup>7</sup>: G01N 27/64

Declarations under Rule 4.17:

(21) International Application Number: PCT/CA2003/001318

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

(22) International Filing Date: 28 August 2003 (28.08.2003)

— as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

(25) Filing Language: English

— of inventorship (Rule 4.17(iv)) for US only

(26) Publication Language: English

(30) Priority Data:  
60/413,162 25 September 2002 (25.09.2002) US

(71) Applicant (for all designated States except US): IONALYTICS CORPORATION [CA/CA]; Building M-50, IPF, 1200 Montreal Road, Ottawa, Ontario K1A 0R6 (CA).

(72) Inventors; and

(75) Inventors/Applicants (for US only): GUEVREMONT, Roger [CA/CA]; 2059 Gatineau View Crescent, Ottawa, Ontario K1J 7W9 (CA). POTVIN, Lucien [CA/CA]; 29 Goulding Crescent, Kanata, Ontario K2K 2N9 (CA).

(74) Agent: FREEDMAN, Gordon; Freedman & Associates, 117 Centrepointe Drive, Suite 350, Nepean, Ontario K2G 5X3 (CA).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report  
— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(88) Date of publication of the international search report:  
1 July 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: FAIMS APPARATUS AND METHOD FOR SEPARATING IONS

(57) Abstract: A method of controlling an asymmetric waveform that is generated as a combination of a plurality of sinusoidal waves, including two sinusoidal waves having a frequency that differs by a factor of two. The method includes the steps of sampling the generated asymmetric waveform to obtain a set of data points that is indicative of the generated asymmetric waveform. Each data point of the set of data points normalized. The method further includes the steps of determining at least a value relating to the normalized data points, of comparing the determined at least a value to template data relating to an ideal asymmetric waveform, and of effecting a change to the generated asymmetric waveform in dependence upon the comparison.

WO 2004/030022 A3

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/CA 03/01318

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G01N27/64

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 801 379 A (KOUZNETSOV VIKTOR) 1 September 1998 (1998-09-01) cited in the application the whole document ---	1-12,17, 20-22
Y	EP 0 854 366 A (TEKTRONIX INC) 22 July 1998 (1998-07-22) cited in the application abstract; figures 1-13,22-24 ---	1-12,17, 20-22
A	EP 0 701 138 A (FLUKE CORP) 13 March 1996 (1996-03-13) the whole document ---	1-22 -/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

### ° Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

19 April 2004

Date of mailing of the international search report

04/05/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Klein, M-0

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 03/01318

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PURVES R W ET AL: "MASS SPECTROMETRIC CHARACTERIZATION OF A HIGH-FIELD ASYMMETRIC WAVEFORM ION MOBILITY SPECTROMETER" REVIEW OF SCIENTIFIC INSTRUMENTS, AMERICAN INSTITUTE OF PHYSICS. NEW YORK, US, vol. 69, no. 12, December 1998 (1998-12), pages 4094-4105, XP000918121 ISSN: 0034-6748 the whole document -----	1,22

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 03/01318

Patent document cited in search report	Publication date	Patent family member(s)			Publication date
US 5801379	A 01-09-1998	NONE			
EP 0854366	A 22-07-1998	US	5793642 A	11-08-1998	
		EP	0854366 A1	22-07-1998	
		JP	10221415 A	21-08-1998	
		JP	2001108723 A	20-04-2001	
		JP	2003177160 A	27-06-2003	
EP 0701138	A 13-03-1996	US	5495168 A	27-02-1996	
		DE	69525888 D1	25-04-2002	
		DE	69525888 T2	05-09-2002	
		EP	0701138 A2	13-03-1996	
		JP	2640224 B2	13-08-1997	
		JP	8178964 A	12-07-1996	